

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.weylo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/597,689	08/03/2006	Stanley George Bonney	PB60733-E USw	4906	
23347 7590 6806/2009 GLAXOSMITHKLINE CORPORATE INTELLECTUAL PROPERTY, MAI B482			EXAM	EXAMINER	
			CAMPBELL,	CAMPBELL, VICTORIA P	
	FIVE MOORE DR., PO BOX 13398 RESEARCH TRIANGLE PARK, NC 27709-3398		ART UNIT	PAPER NUMBER	
			3763		
			NOTIFICATION DATE	DELIVERY MODE	
			08/06/2009	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

USCIPRTP@GSK.COM LAURA.M.MCCULLEN@GSK.COM JULIE.D.MCFALLS@GSK.COM

Application No. Applicant(s) 10/597.689 BONNEY ET AL. Office Action Summary Examiner Art Unit VICTORIA P. CAMPBELL 3763 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 03 August 2006. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-26.38-77.85-97 and 104 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) _____ is/are allowed. 6) Claim(s) 1-14.16-18.20.21.23-26.38-48.51.52.56.57.59-68.70-77.85-97 and 104 is/are rejected. 7) Claim(s) 15,19,22,49,50,53-55,58 and 69 is/are objected to. 8) Claim(s) _____ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on 03 August 2006 is/are: a) Accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. Attachment(s)

PTOL-326 (Rev. 08-06)

1) Notice of References Cited (PTO-892)

Notice of Draftsperson's Patent Drawing Review (PTO-948)

Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date 8/3/06.

Interview Summary (PTO-413)
Paper No(s)/Mail Date. ______

6) Other:

5) Notice of Informal Patent Application

Art Unit: 3763

DETAILED ACTION

This is the initial Office Action based on the 10/597689 application filed August 3, 2006. Claims 1-26, 38-77, 85-97, and 104 as presented in the preliminary amendment are currently pending and considered below.

Specification

 The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

Claim Objections

- Claim 85 is objected to because of the following informalities: the claim does not end in a period --,--. Appropriate correction is required.
- 3. Claim 96 objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim should refer to other claims in the alternative only. See MPEP § 608.01(n). Accordingly, the claim has not been further treated on the merits.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

Art Unit: 3763

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States

Claims 1-14, 16-18, 20, 21, 23-26, 38-48, 51, 52, 56, 57, 59-68, 70-74, 85-87, 89,
91, 92, and 104 are rejected under 35 U.S.C. 102(b) as being anticipate by USPN
5.085.351 to Martin.

Regarding the above claims, Martin discloses a fluid dispenser having a storage chamber (20, 22) for storing a fluid product; a dispensing outlet (46); a metering chamber (62a, 62b, 62c, and portion enclosed by 34, 37, and 52) adapted to provide the metered volume of the fluid product for dispensing through the dispensing outlet by movement of the metering chamber between a contracted state (Fig. 2) and an expanded state (Fig. 1), movement between these states placing the metering and storage chambers in fluid communication (Fig. 1) to enable the metering chamber to receive from the storage chamber an excess volume (Fig. 1) comprising the metered volume (amount in chamber 62a, b, or c) and a surplus volume; a bleed arrangement (72) adapted to bleed the surplus volume of the fluid product from the metering chamber; and a manually-operable actuating mechanism (16) for actuating movement in a predetermined direction and adapted to cause a cycle of movement in response to movement in the predetermined direction.

Martin further discloses that the metering chamber is defined by a boundary wall having a first section (24, 30, 50, 52, and 37) movably mounted in the dispenser (16) to move the metering chamber between the states and at least one transfer port (72) is formed in the first section through which the fluid product is transferable from the

Art Unit: 3763

storage chamber to the metering chamber when the metering chamber moves to the expanded state.

Further, Martin discloses that the first section and the storage chamber are provided by a container unit (including 12) movably mounted in the dispenser, that the transfer port is selectively opened and closed when the metering chamber moves between states, and that the transfer port is closed when the metering chamber is at an intermediate state (which is defined by the examiner as the state in which the transfer port is closed, but the flexible member (24) has not yet been pushed into one of the chamber (62)) between its expanded and contracted states. Martin further discloses that the metering chamber has a volume substantially corresponding to the metered volume in the intermediate state, and that the transfer port is closed between the intermediate and contracted states and open between the intermediate and expanded states.

Additionally, Martin discloses that the boundary wall has a second section (42, 60) and the metering chamber is movable between states by movement of the first section relative to the second, that the second section is stationary in the dispenser (see Figs. 1 and 2), and that the second section is adapted in use to selectively open and close the transfer port (70). Martin also discloses an outlet port (56) disposed on the second section and that the container unit is adapted to operate as a pump mechanism for filling and emptying of the metering chamber, that the movement of the metering chamber pumps the fluid product out of the metering chamber, and that the metering chamber is repeatedly movable between states for repeated use.

Art Unit: 3763

Martin also discloses an outlet valve mechanism (50, 52, 56) adapted to keep the dispensing outlet closed until after bleeding is complete, only allows the metered volume to escape the outlet, and is configured to close the outlet except when the metering chamber moves to its contracted state after the bleeding process.

Martin further discloses that the dispensing outlet is a nozzle (16) which is a mouthpiece or nasal nozzle (Col. 1, lines 18-19). Furthermore, Martin discloses that the bleeding arrangement bleeds the surplus volume from the metering chamber to the storage chamber, through the transfer port.

Martin continues to disclose that the container unit is mounted for translational movement along an axis which contains the storage chamber, metering chamber, outlet port, and dispensing outlet, wherein the outlet port and dispensing outlet are at opposed ends of an axial channel of the dispenser (see Figs. 1 and 2).

Martin also discloses that the first section of the metering chamber is sealingly, slidingly mounted on the second section (50 and 52 slide around 42), that the first section of the metering chamber presents a movable end wall (wall comprising lip 72), which has a generally U-shape (see Figs.), and that the second section presents an end wall of the metering chamber which has a generally U-shape structure (see Figs.).

Martin also discloses that the first section is formed by a female depression in an outer surface of the container unit (34), that the second section is formed as a male projection inserted into the female depression (60, 68), that the depression extends into the storage chamber, that the storage chamber surrounds the depression, that at least a portion of the storage chamber surrounds the metering chamber, and that at least a

Art Unit: 3763

portion of the storage chamber is concentrically arranged with the metering chamber (see Figs. 1 and 2). Further, Martin discloses that the metering chamber has substantially zero volume when in its contracted state, that the first and second sections abut in a contracted state, and that the first and second sections are of complementary shape which next in the contracted state.

Martin also discloses that the device is hand-held and that the actuating mechanism has a manually-engageable actuator member (16) operatively coupled to the container unit to move the container unit such that the metering chamber completes a cycle between states (Figs. 1 and 2).

Furthermore, Martin discloses that bleeding of the product occurs as the metering chamber is moved from the expanded to contracted state and that the dispensing outlet is a dispensing outlet of the unit through which the metered volume is delivered to the environment (Figs. 1 and 2).

Still further, Martin discloses that the predetermined direction of actuator motion is inward with respect to the dispenser, that the actuator member is biased in an outward direction, and that the actuator is a trigger member. Furthermore, Martin discloses that the actuating mechanism has a drive structure (16, 18) adapted to move the container unit in a first direction thereby moving the metering chamber towards one of its different states, that movement of the container unit in the first direction causes the metering chamber to move from the contracted to the expanded state, and that the drive structure, which is a pusher structure or cam structure, is coupled to the actuator member.

Art Unit: 3763

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 7. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
 - Determining the scope and contents of the prior art.
 - 2. Ascertaining the differences between the prior art and the claims at issue.
 - Resolving the level of ordinary skill in the pertinent art.
 - Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 8. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- Claims 75-77, 88, 90, 93-95, and 97 are rejected under 35 U.S.C. 103(a) as being unpatentable over Martin in view of USPN 6,527,144 B2 to Ritsche et al.

Art Unit: 3763

Regarding the above claims, Martin discloses the device of claims 1, 86, and 89 as described above, but fails to further teach or disclose particular limitations of the actuation mechanism. Ritsche et al teach an actuating member on a nasal dosing device in which the actuator member (24) is pivotally mounted (19) on the dispenser (Figs. 5A and 5B), wherein the dispensing outlet is at the upper end of the dispenser. the actuator member is on a side of the dispenser, and the pivot point is at the lower end of the actuator member (Figs. 5A and 5B). Further, Ritsche et al disclose that the first direction (H) is generally transverse to the predetermined direction (F) and that the drive structure (46) is pivotally connected to the actuator member. Furthermore, the actuating member of Ritsche et al is adapted to move the metering chamber from its contracted to expanded state in a first phase of movement and return it to a contracted state in a second phase: Ritsche et al further teaches that the actuating mechanism has a biasing structure which biases the metering chamber to its contracted state, that the biasing force is sufficient to cause the liquid to be dispensed, and that the biasing structure is able to return the metering chamber to the contracted state (Col. 6, lines 32-51). At the time of invention, it would have been obvious to combine the metered dispenser of Martin with the actuator member of Ritsche et al because doing so eliminates the ergonomically unfavorable actuation mechanism of Martin and replaces it with a more favorable design (Col. 1, lines 30-35).

Double Patenting

10. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the

Art Unit: 3763

unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Omum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

11. Claims 1-26, 38-71, 83, and 104 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-24, 36-69, 85, and 92 of copending Application No. 10/597678, claims 1-22, 34-69, 83, and 90 of copending Application No. 10/597683, claims 1-37 of copending Application No. 10/597692, claims 1-46 of copending Application No. 10/597703, claims 1-24, 36-69, 85, and 92 of copending Application No. 10/597624, and claims 1-25, 37-71, 85, and 92 of copending Application No. 10/597690. Although the conflicting claims are not identical, they are not patentably distinct from each other because all of the above applications claim in some combination a fluid delivery device having a storage and a metering chamber wherein the metering chamber is comprised of two members in slideable, sealing engagement for measuring out an excess volume of product, bleeding the surplus volume of product, and delivery of the metered dose through an outlet.

Art Unit: 3763

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Allowable Subject Matter

12. Claims 15, 19, 22, 49, 50, 53-55, 58, and 69 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

 The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO-892.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to VICTORIA P. CAMPBELL whose telephone number is (571)270-5035. The examiner can normally be reached on Monday-Thursday, 7-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nicholas Lucchesi can be reached on 571-272-4977. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3763

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Victoria P Campbell Examiner, AU 3763

/Nicholas D Lucchesi/ Supervisory Patent Examiner, Art Unit 3763